

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0851-0032  
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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 63.00**Complete if Known**

Application Number	09/544,150
Filing Date	04/06/2000
First Named Inventor	PINGA
Examiner Name	
Group Art Unit	3624
Attorney Docket No.	P00252-US

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	02-0900
Deposit Account Name	Barlow, Josephs & Holmes

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	208	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1) (\$)****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
30	-20** = 7	9.00	63.00
2	-3** = 0	0.00	0.00

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 63.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	260	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)****SUBMITTED BY**

Name (Print/Type)	Stephen Holmes	Registration No. (Attorney/Agent)	34621	Telephone	401 273 4446
Signature		Date	08/14/2002		

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Received from &lt;4012734447&gt; at 8/14/02 10:44:07 AM [Eastern Daylight Time]

Application No. 09/544,150

<p align="center"><b>CERTIFICATE OF FACSIMILE</b></p> <p>I hereby certify that this correspondence is being transmitted by facsimile 703 872 9326 to the United States Patent and Trademark Office on the date shown below.</p> <p><u>Cindy Branca</u> (Signature) Cindy Branca Date: <u>8/14/2002</u></p>
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**PATENT**  
Serial No: 09/544,150**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	PINGA, Louis, et al.	)	
Serial No:	09/544,150	)	Examiner:
Filed:	April 6, 2000	)	Art Unit: 3624
Title:	CASINO BETTING, RATING AND	)	
	INVESTMENT SYSTEM	)	
Docket No:	P006 P00252-US	)	

**PRELIMINARY AMENDMENT**Assistant Commissioner for Patents  
Washington DC 20231

Sir:

Please enter the following Preliminary Amendment in the above noted case.

**In the Claims:**

Please amend the Application to include the following new Claims 24-30. Claims 1-30 are currently pending in the Application.

- A1 8/102
24. A method for implementing an expenditure tracking, reward, and investment program, said method comprising the steps of:
- establishing an expenditure tracking account for the benefit of a consumer;